

FILED

UNITED STATES COURT OF APPEALS

JUL 16 1974

FOR THE NINTH CIRCUIT

CLERK  
U. S. COURT OF APPEALS

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THE PEOPLE OF SAIPAN, by and through  
HERMAN Q. GUERRERO, LINO M. OLOPAI,  
DAVID T. ALDAN, JESUS A. SASAMOTO,  
JUSTIN S. MANGLONA, NICK SANTOS,  
BEN A. GUERRERO, JOHN ROSARIO, RICH  
R. MARCIANO, JOAQUIN P. VILLAGOMEZ,

Plaintiffs and Appellants,

v.

No. 73-1769

UNITED STATES DEPARTMENT OF INTERIOR,  
ROGERS C. B. MORTON, Secretary of  
Interior, STANLEY S. CARPENTER,  
Deputy Assistant Secretary of the  
Interior for Territorial Affairs,  
EDWARD E. JOHNSTON, High Commissioner  
of the Trust Territory of the Pacific  
Islands, all individually and in their  
official capacities,

Governmental Defendants  
and Appellees,

and

CONTINENTAL AIRLINES, INC., a Nevada  
Corporation,

Corporate Defendant and  
Appellee

Appeal from the United States District Court  
for the District of Hawaii.

Before: TRASK and GOODWIN, Circuit Judges,  
and EAST,\* District Judge.

GOODWIN, Circuit Judge:

Plaintiffs, citizens of the Trust Territory of the  
Pacific Islands (known also as Micronesia), sued in the  
district court to challenge the execution by the High  
Commissioner of the Trust Territory of a lease permitting  
Continental Airlines to construct and operate a hotel on  
public land adjacent to Micro Beach, Saipan. Plaintiffs  
appeal a judgment of dismissal.

\*The Honorable William G. East, Senior United States District  
Judge for the District of Oregon, sitting by designation.

1 The district court held that the Trust Territory  
2 government is not a federal agency subject to judicial re-  
3 view under the Administrative Procedure Act (APA), 5 U.S.C.  
4 §§ 701-706, or the National Environmental Policy Act (NEPA),  
5 42 U.S.C. §§ 4321 et seq., and that the Trusteeship Agree-  
6 ment does not vest plaintiffs with individual legal rights  
7 which they can assert in a federal court. The court's  
8 opinion is published at 356 F. Supp. 645 (D. Hawaii 1973).  
9 We affirm the judgment, but, for the reasons set out below,  
10 we do so without prejudice to the right of the plaintiffs  
11 to refile in the district court should the High Court of the  
12 Trust Territory deny that it has jurisdiction to review the  
13 legality of the actions of the High Commissioner.

14 The facts are set out in detail in the district court  
15 opinion. In brief, Continental applied in 1970 to the  
16 Trust Territory government for permission to build a hotel  
17 on public land adjacent to Micro Beach, Saipan, an important  
18 historical, cultural, and recreational site for the people  
19 of the islands. Pursuant to the requirements of the Trust  
20 Territory Code, 67 T.T.C. § 53, Continental's application  
21 was submitted to the Mariana Islands District Land Advisory  
22 Board for its consideration. In spite of the Board's unan-  
23 imous recommendation that the area be reserved for public  
24 park purposes, the District Administrator of the Marianas  
25 District recommended approval of a lease. The High  
26 Commissioner himself executed the lease on behalf of the  
27 Trust Territory government. An officer appointed by the  
28 President of the United States with the advice and consent  
29 of the Senate (48 U.S.C. § 1681a), the High Commissioner  
30 is the highest official in the executive branch of the  
31 Trust Territory government.

1 Following its execution in 1972, the lease was  
2 opposed by virtually every official body elected by the  
3 people of Saipan. Indeed, the record in this case shows  
4 that the High Commissioner's decision was officially sup-  
5 ported only by the United States Department of the Interior,  
6 the Trust Territory Attorney General (a United States citi-  
7 zen), and the District Administrator of the Marianas Dis-  
8 trict (appointed by the High Commissioner, serving directly  
9 under him, and subject to removal by him).

10 Later in 1972, an action against some of the parties  
11 here was commenced before the High Court of the Trust Terri-  
12 tory to enjoin construction of the hotel. The High Court,  
13 while denying defendants' motions to dismiss on certain  
14 nonfederal causes of action, held that NEPA did not apply  
15 to actions of the Trust Territory government, as plaintiffs  
16 had contended.<sup>1</sup> Soon afterward, the plaintiffs filed this  
17 action in the United States District Court for the District  
18 of Hawaii, and the High Court thereupon stayed proceedings  
19 before it pending the outcome of this action.

21 I. JUDICIAL REVIEW UNDER THE APA OR NEPA ;

22 The district court, relying upon its earlier deci-  
23 sion in People of Enewetak v. Laird, 353 F. Supp. 811 (D.  
24 Hawaii 1973), again held that NEPA applies to federal agen-  
25 cies operating in the Trust Territory. It also held that  
26 approval of the lease agreement was "major" action, within  
27 the meaning of NEPA. However, although the district court  
28 rejected the defendants' contention that the Trust Terri-  
29 tory government is a foreign government immune to suits in  
30 United States courts, it accepted the defendants' alternate  
31 contention that the local government is a government of a  
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1 United States territory or possession, within the meaning  
2 of the exclusionary clause in the Administrative Procedure  
3 Act, 5 U.S.C. § 701(b)(1)(C).<sup>2</sup> Having concluded that the  
4 Trust Territory government was exempt from review under the  
5 APA, the district court reasoned that the same standards on  
6 the scope of review should be applied to NEPA, and concluded  
7 that the action of the High Commissioner in approving and  
8 executing the lease agreement was not "federal" action cov-  
9 ered by the National Environmental Policy Act, 42 U.S.C.  
10 § 4332.<sup>3</sup>

11 We affirm these conclusions of the district court.  
12 See 356 F. Supp. at 649-61.<sup>4</sup>

13 We recognize, as did the district court, that sev-  
14 eral decisions have held governments of United States terri-  
15 tories to be agencies of the federal government. However,  
16 these cases all involved a determination of agency for  
17 such purposes as income taxation, Bell v. Commissioner,  
18 278 F.2d 100 (4th Cir. 1960), or the applicability of the  
19 Portal-to-Portal Act of 1947, Kam Koon Wan v. E. E. Black,  
20 Ltd., 188 F.2d 558 (9th Cir.), cert. denied, 342 U.S. 826  
21 (1951).<sup>5</sup> Plaintiffs have not cited and we have not found  
22 a case applying APA judicial review provisions to the Trust  
23 Territory or applying even similar review standards to the  
24 civil government of any territory or possession.

25 We also recognize, again as did the district court,  
26 that the APA exclusionary clause excludes only "the govern-  
27 ments of the territories or possessions of the United  
28 States," 5 U.S.C. § 701(b)(1)(C), and that the Trust Terri-  
29 tory is not a territory or possession, because technically  
30 the United States is a trustee rather than a sovereign.  
31 We agree with the district court that this distinction is  
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1 immaterial, however, because the intent of Congress was to  
2 exclude from APA review all governments of this general type  
3 created pursuant to the authority of Congress.

4 Plaintiffs have cited several judicial decisions,  
5 a regulation, and one Tax Court decision stating that the  
6 Trust Territory is not a territory or possession of the  
7 United States. However, the holding of the judicial deci-  
8 sions is limited to the applicability of the Federal Tort  
9 Claims Act (see, e.g., Callas v. United States, 253 F.2d 838  
10 (2d Cir.), cert. denied, 357 U.S. 936 (1958); Brunell v.  
11 United States, 77 F. Supp. 68 (S.D.N.Y. 1948)), and the regu-  
12 lation and the Tax Court decision both involve federal income  
13 taxation. See Treas. Reg. § 1.931-1(a)(1); Richard W. Benfer,  
14 45 T.C. 277 (1965). We do not read these decisions and the  
15 regulation to be inconsistent with our conclusion that Con-  
16 gress intended the government of the Trust Territory, like  
17 that of territories and possessions, to be immune from judi-  
18 cial review under the APA.

19 Finally, we note that the Trusteeship Agreement, in  
20 which the United Nations designated the United States to be  
21 the administering authority of the Trust Territory, states  
22 that the United States shall "promote the development of  
23 the inhabitants of the trust territory toward self-government  
24 \* \* \* ." Trusteeship Agreement for the Former Japanese Man-  
25 dated Islands, July 18, 1947, art. 6(1), 61 Stat. 3301, 3302,  
26 T.I.A.S. No. 1665. This clear statement of intent on the  
27 part of the United Nations to foster self-government in the  
28 Trust Territory constrains us not to hold that the actions  
29 of the local government are reviewable in the same manner  
30 as the actions of domestic federal administrative agencies,  
31 in a federal district court several thousand miles from the  
32 islands.

1 For these reasons and for those expressed in the  
2 opinion of the district court, we affirm the conclusion of  
3 that court that neither the Trust Territory government nor  
4 the High Commissioner alone is a "federal agency" as that  
5 term is used in making actions reviewable under the APA or  
6 NEPA.

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8 II. TRUSTEESHIP AGREEMENT

9 Plaintiffs also asserted below and assert here that  
10 the action of the governmental defendants in leasing public  
11 land to an American corporation against the expressed oppo-  
12 sition of the elected representatives of the people of  
13 Saipan and without compliance with NEPA is a violation of  
14 their duties under the Trusteeship Agreement. The district  
15 court rejected this argument, holding that the Trusteeship  
16 Agreement did not vest the citizens of the Trust Territory  
17 with rights which they can assert in a district court.

18 We cannot accept the full implications of this hold-  
19 ing. We do not dispute the district court's conclusion  
20 that compliance with NEPA was not required by the Trustee-  
21 ship Agreement.<sup>6</sup> We do, however, disagree with the holding  
22 insofar as it can be read to say that the Trusteeship Agree-  
23 ment does not create for the islanders substantive rights  
24 that are judicially enforceable.

25 The district court relied for its conclusion on lan-  
26 guage in Pauling v. McElroy, 164 F. Supp. 390, 393 (D.D.C.  
27 1958), aff'd on other grounds, 278 F.2d 252 (D.C. Cir.),  
28 cert. denied, 364 U.S. 835 (1960). Pauling concerned an  
29 attempt to enjoin United States officials from proceeding  
30 with nuclear tests in the Marshall Islands, an area within  
31 the trusteeship. The controversy there, unlike the one  
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1 here, involved the Trusteeship Agreement's grant of broad  
2 discretion to use the area for military purposes. See  
3 Trusteeship Agreement arts. 1, 5, 13, 61 Stat. 3301, 3302,  
4 3304. We do not find Pauling to support the defendants'  
5 contention here that the plaintiffs cannot invoke the  
6 provisions of the Trusteeship Agreement to challenge the  
7 High Commissioner's power to lease local public land for  
8 commercial exploitation by private developers.

9 The right of Rhodesian and American citizens to main-  
10 tain an action in the courts of the United States seeking  
11 enforcement of the United Nations embargo against Rhodesia  
12 was recently recognized in Diggs v. Shultz, 470 F.2d 461  
13 (D.C. Cir. 1972), cert. denied, 411 U.S. 931 (1973). On  
14 the merits, the court denied specific relief because of  
15 Congressional action which was held to have abrogated the  
16 United Nations Security Council Resolution, but the right  
17 to seek enforcement in federal court was firmly established.  
18 That decision, if correct, suggests that the islanders here  
19 can enforce their treaty rights, if need be in federal  
20 court.<sup>7</sup>

21 Article 73 of the United Nations Charter, 59 Stat.  
22 1031, 1048, T.S. No. 993 (1945), which discusses non-self-  
23 governing territories generally, provides:

24 "Members of the United Nations which have  
25 or assume responsibilities for the administra-  
26 tion of territories whose peoples have not yet  
27 attained a full measure of self-government rec-  
28 ognize the principle that the interests of the  
29 inhabitants of these territories are paramount,  
30 and accept as sacred trust the obligation to  
31 promote to the utmost, within the system of  
32 international peace and security established  
33 by the present Charter, the well-being of the  
34 inhabitants of these territories, and, to this  
35 end:

36 "a. To ensure, with due respect for the  
37 culture of the peoples concerned, their political,  
38 economic, social, and educational advancement,  
39 their just treatment, and their protections  
40 against abuses \* \* \* ."

1 See also United Nations Charter art. 76, describing the  
2 basic objectives of the trusteeship system. Although the  
3 plaintiffs have argued that these articles of the United  
4 Nations Charter, standing alone, create affirmative and  
5 judicially enforceable obligations, we assume without de-  
6 ciding that they do not.

7           However, pursuant to Article 79 of the Charter,<sup>8</sup> the  
8 general principles governing the administration of trust  
9 territories were covered in more detail in a specific  
10 trusteeship agreement for the Trust Territory of the Pacific  
11 Islands. See generally L. Goodrich, E. Hambro & A. Simons,  
12 Charter of the United Nations: Commentary & Documents 502  
13 (3rd ed. 1969). Specifically, Article 6 of the Trusteeship  
14 Agreement requires the United States to "promote the eco-  
15 nomic advancement and self-sufficiency of the inhabitants,  
16 and to this end \* \* \* regulate the use of natural resources"  
17 and to "protect the inhabitants against the loss of their  
18 lands and resources \* \* \* ."

19           Defendants contend, though, that provisions of the  
20 Trusteeship Agreement, including Article 6, can be enforced  
21 only before the Security Council of the United Nations.<sup>9</sup>  
22 We disagree, concluding that the Trusteeship Agreement  
23 can be a source of rights enforceable by an individual  
24 litigant in a domestic court of law.

25           The extent to which an international agreement estab-  
26 lishes affirmative and judicially enforceable obligations  
27 without implementing legislation must be determined in  
28 each case by reference to many contextual factors: the  
29 purposes of the treaty and the objectives of its creators,  
30 the existence of domestic procedures and institutions  
31  
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1 appropriate for direct implementation, the availability  
2 and feasibility of alternative enforcement methods, and  
3 the immediate and long-range social consequences of self-  
4 or non-self-execution. See generally M. McDougal, H.  
5 Lasswell, & J. Miller, The Interpretation of Agreements  
6 and World Public Order; Principles of Content and Procedure  
7 passim (1967).

8 The preponderance of features in this Trusteeship  
9 Agreement suggests the intention to establish direct,  
10 affirmative, and judicially enforceable rights. The issue  
11 involves the local economy and environment, not security;  
12 the concern with natural resources and the concern with  
13 political development are explicit in the agreement and  
14 are general international concerns as well; the enforce-  
15 ment of these rights requires little legal or administra-  
16 tive innovation in the domestic fora; and the alternative  
17 forum, the Security Council, would present to the plain-  
18 tiffs obstacles so great as to make their rights virtually  
19 unenforceable.

20 Moreover, the Trusteeship Agreement constitutes the  
21 plaintiffs' basic constitutional document (see Parry, The  
22 Legal Nature of Trusteeship Agreements, 27 Brit. Year Book  
23 Int'l L. 164, 182-84 (1950), excerpted in I M. Whiteman,  
24 Digest of International Law 893 (1963), and is codified  
25 into the law of the Trust Territory. 1 T.T.C. § 101(1).  
26 For all these reasons, we believe that the rights asserted  
27 by the plaintiffs are judicially enforceable. However, we  
28 see no reason why they could not and should not have been  
29 enforced in the High Court of the Trust Territory. The  
30 district court found that:

1 " \* \* \* The lease approval was a 'local'  
2 decision of the High Commissioner acting within  
3 the scope of his duties as chief executive of  
4 the Trust Territory government. The officials  
5 of the Interior Department did not negotiate,  
6 counsel, advise or participate in the decision.  
7 Nor was the lease ever sent to the Department  
8 for approval or concurrence in any form \* \* \* ."  
9 356 F. Supp. at 657 n.28.

6 Surely, the judicial branch of the Trust Territory govern-  
7 ment has the authority to determine whether or not the action  
8 of its chief executive complies with a provision in its own  
9 constitutional document.

10 We recognize that the Trusteeship Agreement purports  
11 to obligate the United States, not the individual who hap-  
12 pens to be High Commissioner. Nonetheless, because of the  
13 process of his appointment,<sup>10</sup> the High Commissioner has the  
14 responsibility to act in a manner consistent with the duties  
15 assumed by the United States itself in the Trusteeship Agree-  
16 ment.

17 Thus, although we hold that the Trusteeship Agreement  
18 is a source of individual legal rights, we also hold that,  
19 in a case involving actions by the High Commissioner within  
20 the scope of his duties as chief executive, these rights  
21 are not initially enforceable in United States courts.   
22 Rather, upon principles of comity, they should be asserted  
23 before the High Court of the Trust Territory.

24 Admittedly, the substantive rights guaranteed through  
25 the Trusteeship Agreement are not precisely defined. How-  
26 ever, we do not believe that the agreement is too vague for  
27 judicial enforcement. Its language is no more general than  
28 such terms as "due process of law," "seaworthiness," "equal  
29 protection of the law," "good faith," or "restraint of  
30 trade," which courts interpret every day. Moreover, the  
31 High Court can look for guidance to its own recently enacted  
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1 environmental quality and protection act, T. T. Pub. L. No.  
2 4C-78 of Apr. 14, 1972, codified at 63 T.T.C. §§ 501-509,  
3 to the relevant principles of international law and resource  
4 use which have achieved a substantial degree of codifica-  
5 tion and consensus (see Banco Nacional de Cuba v. Sabbatino,  
6 376 U.S. 398, 428 (1964)), and to the general direction,  
7 although not necessarily the specific provisions, of NEPA.  
8 Cf. Pyramid Lake Paiute Tribe of Indians v. Morton, 354 F.  
9 Supp. 252 (D.D.C. 1972). These sources should provide a  
10 sufficiently definite standard against which to test the  
11 High Commissioner's approval of a 50-year lease of unique  
12 public lands to an American corporation, allegedly in dis-  
13 regard of the protests of the islands' elected officials  
14 and without a showing of consideration of cultural and  
15 environmental factors.

16 Since the High Commissioner claims to have been act-  
17 ing pursuant to local statutes when he approved the lease  
18 to Continental, if the High Court finds that his action  
19 violated provisions of the Trusteeship Agreement, that  
20 court may have to declare these statutes void either on  
21 their face or void as applied by the High Commissioner.  
22 The order of the United States Department of the Interior  
23 which established the structure of the Trust Territory  
24 government forbids the legislative branch of the Trust  
25 Territory government from enacting any legislation incon-  
26 sistent with "treaties or international agreements of the  
27 United States \* \* \* ." Dept. of Interior Order No. 2918,  
28 pt. III, § 2(a) (1968). Because the Trusteeship Agreement  
29 is an international agreement of the United States, local  
30 legislation inconsistent with it must fall.

1           Although the High Court has held that it lacks juris-  
2           diction over an agency of the United States or its officers  
3           in the Trust Territory (see Schulz v. Peace Corps, 4 T.T.R.  
4           428 (1969)), the Secretary of the Interior assures us that  
5           his department did not participate in any way in the deci-  
6           sion to grant a lease to Continental, and, hence, that  
7           Schulz will not bar the High Court from hearing and decid-  
8           ing this case. If, in the proceedings before the High  
9           Court, it should appear that the actions of the High Commis-  
10          sioner cannot be effectively reviewed and tested against  
11          the duties assumed by the United States in the Trusteeship  
12          Agreement, either because his actions were controlled by  
13          a directive or regulation of the Secretary of the Interior  
14          which the High Court considers nonreviewable or because the  
15          High Court does not agree that it has the power to review  
16          the High Commissioner's actions against the standards estab-  
17          lished in the Trusteeship Agreement, then the plaintiffs  
18          may refile this action in the United States District Court  
19          for the District of Hawaii.

20                 We recognize that the High Court has said earlier  
21                 that the Trusteeship Agreement does not create a trust  
22                 capable of enforcement through the courts. See Aliq v.  
23                 Trust Territory of the Pacific Islands, 3 T.T.R. 603, 615-16  
24                 (1967). We also recognize that, unless the High Commis-  
25                 sioner acted unconstitutionally or in violation of the law,  
26                 the suit against him might not be cognizable in the Trial  
27                 Division of the High Court because of the doctrine of  
28                 sovereign immunity. See also 6 T.T.C. § 252(2). Nonethe-  
29                 less, the High Court is free to re-evaluate its position  
30                 with regard to the enforceability of the provisions of the  
31                 Trusteeship Agreement under Diggs v. Shultz, supra.  
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1 It may conclude, as we did, that as the judicial branch of  
2 a political entity possessing many of the attributes of  
3 an independent nation, that court has the power to hear a  
4 claim that the islands' chief executive officer has vio-  
5 lated terms of the Trusteeship Agreement. If the High  
6 Court reaches this conclusion, the doctrine of sovereign  
7 immunity would provide no basis for refusing to hear the  
8 action. See Malone v. Bowdoin, 369 U.S. 643, 647 (1962);  
9 Larson v. Domestic & Foreign Commerce Corp., 337 U.S. 682,  
10 689-90, 701-02 (1949).

11 We hold, then, that the plaintiffs must initially  
12 pursue their remedies in the local court. If our assumption  
13 that the High Court has the power to review the decision of  
14 the High Commissioner proves to be invalid, then the fed-  
15 eral district court must assume jurisdiction of this case.  
16 We refuse to leave the plaintiffs without a forum which can  
17 hear their claim that the High Commissioner has violated  
18 the duties assumed by the United States in the Trusteeship  
19 Agreement.

20 Because it is possible that we may see this case  
21 again, we comment briefly on one issue raised by the defend-  
22 ants. Continental contends that it has acquired some equi-  
23 ties by proceeding with the construction of its hotel while  
24 its right to do so is being litigated. Unless we misread  
25 the argument, Continental seems to be asserting that the  
26 damage has been done, and that it is too late for courts  
27 to remedy it. We note that Continental initiated bull-  
28 dozing activities at the Micro Beach without notice and  
29 while the High Commissioner supposedly was giving further  
30 consideration to the project. The plaintiffs' action was  
31 commenced in the High Court almost immediately afterward,  
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and in federal court within one and one-half months. We  
caution Continental that:

" \* \* \* [A]fter a defendant has been notified of the pendency of a suit seeking an injunction against him, even though a temporary injunction be not granted, he acts at his peril and subject to the power of the court to restore the status, wholly irrespective of the merits as they may be ultimately decided \* \* \* ." Jones v. S.E.C., 298 U.S. 1, 17 (1936), quoted in Nat'l Forest Preservation Group v. Butz, 485 F.2d 408, 411 (9th Cir. 1973).

The judgment of dismissal is affirmed as modified.

1 THE PEOPLE OF SAIPAN, ET AL. v. UNITED STATES DEPARTMENT  
2 of the INTERIOR, ROGERS C.B. MORTON, Secretary of the  
Interior, et al. and CONTINENTAL AIRLINES, INC.  
3 No. 73-1769

4 TRASK, Circuit Judge, Concurring:

5 I join in the decision of the majority but follow  
6 a different course to the common conclusion.

7 First of all, it appears clear to me that the  
8 Charter of the United Nations is not self-executing and does  
9 not in and of itself create rights which are justiciable be-  
10 tween individual litigants. Although under Article VI of  
11 the Constitution <sup>1/</sup> treaties are part of the supreme law of  
12 the land, it was early held that to be immediately binding  
13 upon our courts a treaty must be self-executing. Chief  
14 Justice Marshall enunciated this principle in Foster v.  
15 Neilson, 27 U.S. (2 Pet.) 253, 314 (1829): <sup>2/</sup>

16 "Our constitution declares a treaty to be  
17 the law of the land. It is, consequently,  
18 to be regarded in courts of justice as  
19 equivalent to an act of the legislature, when-  
20 ever it operates of itself, without the aid of  
21 any legislative provision. But when the terms  
22 of the stipulation import a contract -- when  
23 either of the parties engages to perform a  
24 particular act, the treaty addresses itself  
25 to the political, not the judicial department;  
26 and the legislature must execute the contract,  
27 before it can become a rule for the court."

28 Unless a treaty is self-executing, in order to be cognizable  
29 before the courts it must be implemented by legislation.  
30 Otherwise it constitutes a compact between sovereign and  
31 independent nations dependent for its recognition and en-  
32 forcement upon the honor and the continuing self-interest  
of the parties to it. If, however, the treaty contains  
language which confers rights or obligations on the citizenry  
of the compacting nations then, upon ratification, it becomes  
a part of the law of the land under Article VI. In Head  
Money Cases, 112 U.S. 580 (1884), the Court said:

1 "A treaty, then, is the law of the land as  
2 an act of Congress is, whenever its provisions  
3 prescribe a rule by which the rights of the  
4 private citizen or subject may be determined.  
5 And when such rights are of a nature to be  
6 enforced in a court of justice, that court  
7 resorts to the treaty for a rule of decision  
8 for the case before it as it would to a  
9 statute." 112 U.S. at 598-99.

10 I find nothing in a reading of the Charter and  
11 nothing has been called to my attention which would persuade  
12 me to believe that the Charter itself creates individual  
13 rights which may be enforced in the courts.<sup>3/</sup> There is little  
14 definitive case law elucidating the issue of self-implemen-  
15 tation vel non. The appellants have referred to some of the  
16 cases in which reference to the Charter has been made.<sup>4/</sup>

17 Those cases are of questionable precedential value.  
18 Looking in the opposite direction we find cases that are  
19 subject to much the same criticism. The only case which  
20 straightforwardly holds in broad terms that the Charter is  
21 not self-executing is Pauling v. McElroy, 164 F. Supp. 390  
22 (D.D.C. 1958), aff'd per curiam on other grounds, 278 F.2d  
23 252 (D.C. Cir.), cert. denied, 364 U.S. 835 (1960). The  
24 District Court in Pauling stated:

25 "The provisions of the Charter of the  
26 United Nations, the Trusteeship Agreement  
27 for the Trust Territory of the Pacific Is-  
28 lands, and the international law principle  
29 of freedom of the seas relied on by plain-  
30 tiffs are not self-executing and do not vest  
31 any of the plaintiffs with individual legal  
32 rights which they may assert in this Court.  
The claimed violations of such international  
obligations and principles may be asserted  
only by diplomatic negotiations between the  
sovereignties concerned." 164 F. Supp. at 393.

33 In Hitai v. Immigration & Naturalization Service, 343 F.2d  
34 466, 468 (2d Cir. 1965), the court held that Article 55 of  
35 the Charter was not self-executing. Both from the standpoint  
36 of the inherent nature of treaty obligations and what appears  
37 to me to be a plain reading of the language of the Charter,  
38 I would hold it to be a compact between sovereign nations



1 neither intending to impart justiciable rights to individuals  
2 nor implicitly doing so.

3 This position is fortified, it would seem, by the  
4 very fact that the Charter provided for a system of trustee-  
5 ship. Chapter XI, which contains Article 73, is a mutual  
6 declaration of the members of their responsibilities for the  
7 administration of territories whose peoples have not yet  
8 attained a complete competence of self-government. Chapter  
9 XII and Chapter XIII then provide for the International  
10 Trusteeship System for the administration and supervision of  
11 those territories. Under those Articles a Trusteeship Agree-  
12 ment was executed between the Security Council of the United  
13 Nations and the United States, as administering authority,  
14 effective July 18, 1947, for the Territory of the Pacific  
15 Islands.<sup>5/</sup> It provided the United States with the authority  
16 to enact a comprehensive system of government under Article  
17 <sup>6/</sup>6.

18 Congress has empowered the President with authority  
19 for the civil administration of the Territory until Congress  
20 itself should further establish a system of government. 48  
21 U.S.C. § 1681. Under this statutory basis, a series of  
22 Executive orders delegated responsibility for government to  
23 the Department of the Interior, see Exec. Order No. 11,021,  
24 3 C.F.R. 600 (1959-63 Comp.), 48 U.S.C. § 1681, and that  
25 Department eventually promulgated a single document combining  
26 previous orders into one basic order for the Government of  
27 the Trust Territory of the Pacific Islands. Dept. of Interior  
28 Order No. 2918, Dec. 27, 1968, 34 Fed. Reg. 157 (1969). This  
29 consolidated order constituted a mini-organic act creating  
30 legislative, executive, and judicial branches of the Govern-  
31 ment with a Congress, a High Commissioner as the Chief  
32 executive, and a High Court of the Trust Territory with a

1 Chief Justice and Associate Justices appointed by the Secre-  
2 tary of the Interior.

3 I agree with the federal appellees and with the  
4 court in Pauling v. McElroy, supra, that the Trusteeship  
5 Agreement is not self-executing. Yet, a series of actions  
6 all ultimately founded upon congressional authority have  
7 so executed the Agreement that its provisions may now properly  
8 be regarded as judicially enforceable. Thus, the Agreement  
9 was approved by the President pursuant to a joint resolution  
10 of Congress, see note 5 supra, and implemented by Executive  
11 orders promulgated pursuant to congressional authority, 48  
12 U.S.C. § 1681. Finally, the Trust Territory Government,  
13 created by the Department of the Interior, has declared the  
14 Agreement "to be in full force and to have the effect of  
15 law in the Trust Territory." 1 T.T.C. § 101(1).

16 The Trust Territory Code provides:

17 "The Trial Division of the High Court shall  
18 have original jurisdiction to try all causes,  
19 civil and criminal, including probate, ad-  
20 miralty, and maritime matters and the adjudi-  
21 cation of title to land or any interest there-  
22 in." 5 T.T.C. § 53.

23 All decisions rendered in such matters are subject to review  
24 by the Appellate Division under 5 T.T.C. § 54(1)(a). It  
25 thus appears to me as it does to the majority that jurisdic-  
26 tion does lie with the High Court to determine the validity  
27 of the lease in accordance with its own law and any other  
28 law affecting the lease or the lands to which the lease is  
29 applicable. Based upon considerations of comity, I agree  
30 that this cause should initially be addressed to the High  
31 Court.  
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FOOTNOTES:

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<sup>1</sup>The High Court concluded that the Trust Territory government was not a "federal agency" and that the High Commissioner, acting as its chief executive officer, was not subject to NEPA. The court relied primarily upon the prior determination of the Secretary of the Interior that "territorial governments, under the jurisdiction of the Secretary of the Interior, are not agencies or instrumentalities of the executive branch of the Federal Government \* \* \* [and] that the territorial governments are not organized entities of the Department of the Interior." Dept. Manual of Dept. of Interior 150.1.4.

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<sup>2</sup>"For the purpose of this chapter --

"(1) 'agency' means each authority of the Government of the United States, whether or not it is within or subject to review by another agency, but does not include --

" \* \* \*

"(C) the governments of the territories or possessions of the United States \* \* \* ." 5 U.S.C. § 701(b).

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<sup>3</sup>"The Congress authorizes and directs that, to the fullest extent possible: \* \* \* all agencies of the Federal Government shall --

" \* \* \*

"(C) include in every recommendation or report on proposals for legislation and other major Federal actions significantly affecting the quality of the human environment, a detailed statement by the responsible official on --

(i) the environmental impact of the proposed action,

(ii) any adverse environmental effects which cannot be avoided should the proposal be implemented,

1 (iii) alternatives to the proposed  
2 action,

3 (iv) the relationship between local  
4 short-term uses of man's environment and  
5 the maintenance and enhancement of long-  
6 term productivity, and

7 (v) any irreversible and irretriev-  
8 able commitments of resources which would  
9 be involved in the proposed action should  
10 it be implemented \* \* \* ." 42 U.S.C.  
11 § 4332.

12 -----  
13 <sup>4</sup> See also Vermilya-Brown Co. v. Connell, 335 U.S. 377  
14 (1948), in support of the conclusion that NEPA applies to  
15 federal agencies operating in the Trust Territory.

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17 <sup>5</sup> But see Porter v. United States, 496 F.2d 583  
18 (Ct.Cl. May 15, 1974), holding that the Trust Territory  
19 government was not an agency of the United States for the  
20 purpose of asserting jurisdiction against the United States  
21 for an alleged breach of a contract negotiated by officials  
22 of the Trust Territory government.

23 -----  
24 <sup>6</sup> Article 12 of the Trusteeship Agreement empowers the  
25 United States "to enact such legislation as may be neces-  
26 sary to place the provisions of this agreement in effect in  
27 the trust territory." Our conclusion that the actions of  
28 the Trust Territory government are not subject to NEPA is  
29 an equivalent way of saying that Congress has not, pursuant  
30 to Article 12, legislated to make NEPA applicable to the  
31 Trust Territory government. Hence, in approving the lease  
32 agreement, the High Commissioner was under no obligation  
to comply with NEPA. This is the same conclusion as that  
reached by the High Court. See note 1, supra.

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2                   \ See Note, 14 Va. J. Int'l L. 185 (1973), which  
3                   comments upon Diggs v. Schultz.

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5                   "The terms of trusteeship for each territory to be  
6                   placed under the trusteeship system, including any altera-  
7                   tion or amendment, shall be agreed upon by the states  
8                   directly concerned, including the mandatory power in the  
9                   case of territories held under mandate by a Member of the  
10                  United Nations, and shall be approved as provided for in  
11                  Articles 83 and 85." United Nations Charter art. 79,  
12                  59 Stat. 1031, 1049.

13                  9  
14                  Unlike the other ten trusteeships set up after  
15                  World War II, pursuant to agreements between the United  
16                  Nations and various nations, the Trust Territory was desig-  
17                  nated as a "strategic" trust. Trusteeship Agreement art. 1,  
18                  61 Stat. 3301. See 1 M. Whiteman, Digest of International  
19                  Law 766. This designation results in the United States  
20                  being responsible to the Security Council for the adminis-  
21                  tration of the Trust Territory -- where the United States  
22                  possesses veto power (United Nations Charter art. 27, 59  
23                  Stat. 1041) -- rather than to the General Assembly. United  
24                  Nations Charter art. 83(1), 59 Stat. 1050.

25                  10  
26                  Article 12 of the Trusteeship Agreement of 1947  
27                  authorized the United States to enact such legislation as  
28                  may be necessary to implement the agreement. 61 Stat. 3304.  
29                  At first, President Truman gave the Navy administrative  
30                  responsibility for the islands. Exec. Order No. 9875, 12  
31                  Fed. Reg. 4837 (1947), 3 C.F.R. 658 (1943-48 Comp.). In  
32                  1951 administration of the islands was transferred to the

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1 Department of the Interior. Exec. Order No. 10265, 16 Fed.  
2 Reg. 6419 (1951), 3 C.F.R. 766 (1949-53 Comp.). During  
3 the next two years, responsibility for administration of  
4 parts of the Trust Territory was redelegated back to the  
5 Secretary of the Navy. Exec. Order No. 10408, 17 Fed. Reg.  
6 10277 (1952), 3 C.F.R. 906 (1949-53 Comp.); Exec. Order  
7 No. 10470, 18 Fed. Reg. 4231 (1953), 3 C.F.R. 951 (1949-53  
8 Comp.). Not until 1954 did Congress begin to legislate  
9 to implement the Trusteeship Agreement, and then it merely  
10 stated that, until it provided further for its government,  
11 all governmental authority in the Trust Territory rested  
12 with the President. Act of June 30, 1954, ch. 423, § 1,  
13 68 Stat. 330, as amended, 48 U.S.C. § 1681(a). Finally,  
14 in 1962 President Kennedy redelegated his authority for  
15 civil administration of the entire Trust Territory to the  
16 Secretary of the Interior. Exec. Order No. 11021, 27 Fed.  
17 Reg. 4409 (1962), 3 C.F.R. 600 (1959-63 Comp.). The  
18 Secretary of the Interior, in turn, delegated executive  
19 authority for the Trust Territory to the High Commissioner:

20 "The executive authority of the Govern-  
21 ment of the Trust Territory, and the respon-  
22 sibility for carrying out the international  
23 obligations undertaken by the United Nations  
24 with respect to the Trust Territory, shall  
25 be vested in a High Commissioner of the Trust  
Territory and shall be exercised and dis-  
26 charged under the supervision and direction  
27 of the Secretary." Dept. of Interior Order  
28 No. 2918, pt. II, § 1, 34 Fed. Reg. 157  
29 (1969).

30 Meanwhile, the 1967 Congress provided that this High Commis-  
31 sioner shall be appointed by the President and confirmed  
32 by the Senate. Act of May 10, 1967, Pub. L. No. 90-16  
§ 2, 81 Stat. 15, codified, 48 U.S.C. § 1681a. See gen-  
erally Note, A Macrostudy of Micronesia: The Ending of a  
Trusteeship, 18 N.Y.L.F. 139 (1972).

1           Thus, as the district court here observed, the High  
2 Commissioner's authority "does not come from the people of  
3 the Trust Territory, nor do they have any method of remov-  
4 ing him when dissatisfied with his actions or policies."  
5 356 F. Supp. at 655. See also Societa A.B.C. v. Fontana  
6 & Della Rocca, [1955] I.L.R. 76 (Court of Cassation, United  
7 Chambers, Italy 1954), quoted at 1 M. Whiteman, Digest of  
8 International Law 870-71, which held that the Italian  
9 Trusteeship Administrator for Somaliland derived his  
10 authority from the Italian state and, hence, was an organ  
11 of that state.

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14           "Appellees suggest that the prospects of signifi-  
15 cant relief by means of the embargo are so slight that this  
16 relationship of intended benefit is too tenuous to support  
17 standing. But this strikes us as tantamount to saying  
18 that because the performance of the United Nations is not  
19 always equal to its promise, the commitments of a member  
20 may be disregarded without having to respond in court to a  
21 charge of treaty violation. It may be that the particular  
22 economic sanctions invoked against Southern Rhodesia in  
23 this instance will fall short of their goal, and that  
24 appellants will ultimately reap no benefits from them.  
25 But, to persons situated as are appellants, the United  
26 Nations action constitutes the only hope; and they are  
27 personally aggrieved and injured by the dereliction of any  
28 member state which weakens the capacity of the world organ-  
29 ization to make its policies meaningful." Diags v. Shultz,  
30 470 F.2d at 465.

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Footnote 1 (Reference page 1)

"This Constitution, and the Laws of the United States which shall be made in Pursuance thereof; and all Treaties made, or which shall be made, under the Authority of the United States, shall be the supreme Law of the Land; and the Judges in every State shall be bound thereby, any Thing in the Constitution or Laws of any State to the Contrary notwithstanding." U.S. Const. art. VI.

Footnote 2 (Reference page 1)

The decision in Foster was overruled by United States v. Percheman, 32 U.S. (7 Pet.) 51 (1883), in an opinion also written by Chief Justice Marshall when new facts were brought to bear upon the controversy, but the legal principle announced in Foster was not undermined. See Valentine v. United States ex rel. Neidecker, 299 U.S. 5, 10 (1936); Head Money Cases, 112 U.S. 580, 598-99 (1884); L. Henkin, Foreign Affairs and the Constitution 156-58 (1972); Comment, Criteria for Self-Executing Treaties, 1968 U. Ill. L.F. 233, 239.

Footnote 3 (Reference page 2)

The fact that a treaty was ratified by the President of the United States upon the advice and consent of two-thirds of the Senate, as was the United Nations Charter (Charter of the United Nations, June 26, 1945, 59 Stat. 1031, 1213, T.S. No. 993 (effective Oct. 24, 1945)), makes it a commitment of the nation but does not necessarily impart rights and obligations to individual citizens.

Footnote 4 (Reference page 2)

In concurring opinions in Oyama v. California, 332 U.S. 633, 649-50, 673 (1948), Justices Black, Douglas, and Murphy intimate that Articles 55 and 56 of the Charter support a position proscribing racial discrimination; a dissenting opinion in Hard v. Hodge, 162 F.2d 233, 245 (D.C Cir. 1947), rev'd, 334 U.S. 24 (1948), is much the same. In an



1 appeal from a contempt-of-Congress conviction for the refusal  
2 of a United Nations' employee to answer whether anyone had  
3 aided her in obtaining employment, Article 105 was discussed  
4 but the actual decision was based upon other grounds. Keeney  
5 v. United States, 218 F.2d 843, 845 (D.C. Cir. 1954). Diggs  
6 v. Shultz, 470 F.2d 461 (D.C. Cir. 1972), cert. denied, 411  
7 U.S. 931 (1973), was a case in which the plaintiffs sought  
8 relief against the Secretary of the Treasury because of an  
9 official authorization of importation of metals contrary to  
10 the terms of a United Nations' embargo in which the United  
11 States had joined. Relief was denied because of the non-  
12 justiciability of the claim under the separation-of-powers  
13 doctrine, although the court did hold that the plaintiffs  
14 had standing to litigate the issue of the failure of the  
15 defendants to adhere to the Government's treaty obligations.  
16 In Diggs, however, the relevant provision of the Charter,  
17 Article 41, had been implemented by Congress through the  
18 enactment of 22 U.S.C. § 287c, which authorizes the President  
19 to effectuate Article 41 sanctions and prescribes criminal  
20 penalties for those individuals disobeying such Presidential  
21 orders. Indeed, pursuant to this statutory authority, the  
22 President had issued Executive orders banning the importation  
23 of the items in question. Exec. Order No. 11,419, 3 C.F.R.  
24 737 (1966-70 Comp.), 22 U.S.C. § 287c; Exec. Order No. 11,322,  
25 3 C.F.R. 606 (1966-70 Comp.), 22 U.S.C. § 287c; see Diggs  
26 v. Shultz, 470 F.2d at 463.

27 Footnote 5.

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28 Trusteeship Agreement for the Former Japanese Mandated  
29 Islands, July 18, 1947, 61 Stat. 3301, T.I.A.S. No. 1665.  
30 The Agreement was approved by the President on July 18, 1947,  
31 pursuant to the authority of a joint resolution of Congress  
32 of the same date. 61 Stat. 397 (1947).

Footnote 6 (Reference page 3)

Trusteeship Agreement, art. 12. Article 6 provides in pertinent part that the administering authority [the United States] shall:

"1. foster the development of such political institutions as are suited to the trust territory and shall promote the development of the inhabitants of the trust territory toward self-government or independence . . . ;

"2. promote the economic advancement and self-sufficiency of the inhabitants . . . ;

"3. promote the social advancement of the inhabitants . . . ; and

"4. promote the educational advancement of the inhabitants . . . ." 61 Stat. at 3302-03.

Footnote 7 (Reference page 4)

The language of the Agreement, and in particular that of Article 6, the specific provision at issue in this suit, evinces a series of general commitments undertaken by the United States in furtherance of particular social objectives. See note 6 supra. That these phrases may become workable through judicial construction, as the majority opines, does not detract from the probability that, had the drafters of the instrument intended the document to have the effect of a statute, more precise language delimiting the rights of Micronesians would have been employed. Compare Head Money Cases, 112 U.S. 580, 598-99 (1884); Hauenstein v. Lynham, 100 U.S. 483 (1879). Moreover, the Agreement, in Article 12, states:

"The administering authority shall enact such legislation as may be necessary to place the provisions of this agreement in effect in the trust territory."

Since, under the Constitution of the "administering authority" (the United States), self-executing treaties are effective upon ratification, this provision, as drafted, would not have been necessary had the drafters intended the Agreement to be self-executing.

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